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INDICATION FORM**

Application Number	10/528,273
Filing Date	March 17, 2005
First Named Inventor	Jouaffre, et al.
Title	Method of Pre-Heating A Stack For Aluminium Electrolysis Production
Art Unit	1795
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	007147.00329

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

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☐ Practitioner(s) named below:

22908

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>R. Marsola</i>	Date	May 21, 2008
Name	Richard Marsola	Telephone	33/04.72.83.49.20
Title and Company	Intellectual Property Manager	On behalf of Aluminium Pechiney	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jouaffre, et al.

Application No./Patent No.: 10/528,273

Filed/Issue Date: March 17, 2005

Entitled: Method of Pre-Heating A Stack for Aluminium Electrolysis Production

Aluminium Pechiney, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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3. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 21, 2008
Date

312-463-5000

Telephone Number


Signature

Joseph J. Berghammer

Typed or printed name

Counsel of Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FAX TRANSMISSION

DATE: September 26, 2005

PTO IDENTIFIER: Application Number 10/528,273-Conf. #4508
Patent Number

Inventor: Denis Jouaffre et al.

MESSAGE TO: USPTO PTAS System

FAX NUMBER: (703) 306-5995

FROM: CONNOLLY BOVE LODGE & HUTZ LLP

Susan E. Shaw McBee

PHONE: (202) 331-7111

Attorney Dkt. #: 22131-00019-US

PAGES (Including Cover Sheet): 6

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1990 M Street, N.W., Suite 800, Washington, DC 20036-3425

Telephone: (202) 331-7111 **Facsimile:** (202) 293-6229

Application No. (if known): 10/528,273

Attorney Docket No.: 22131-00019-US

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on September 26, 2005 .
Date



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Dina N. Van Vuren

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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Denis Jouaffre (06/01/2005), Jean-Luc Basquin
(05/12/2005), and Claude Vanvoren (05/20/2005)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): In parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: Aluminum Pechiney

Internal Address:

Street Address:

7, place du Chancelier Adenauer
F-75116 Paris
FRANCE

City:

State:

Country:

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/528,273

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Susan E. Shaw McBee
CONNOLLY BOVE LODGE & HUTZ LLP

Internal Address: Atty. Dkt.: 22131-00019-US

Street Address: 1990 M Street, N.W., Suite 800

City: Washington

State: DC Zip: 20036-3425

Phone Number: (202) 331-7111

Fax Number: (202) 293-6229

Email Address: SMcBee@cblh.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

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☐ Enclosed

☐ None required (government interest not affecting title)

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Authorized User Name Susan E. Shaw McBee

9. Signature:



Signature

Susan E. Shaw McBee - 39,294

Name of Person Signing

September 26, 2005

Date

Total number of pages including cover sheet, attachments, and documents:

4

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Denis Jouaffre; Jean-Luc Basquin; and Claude Vanvoren (hereinafter referred to as Assignors), residing at Woudriskland 24, NL-4337 CS, Middelburg, NETHERLANDS; L'Echaillon, F-73300 St. Jean de Maurienne, FRANCE; and 13, Lotissement du Petit Bois, 38140 La Murette, FRANCE, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in METHOD OF PRE-HEATING A POT FOR THE PRODUCTION OF ALUMINIUM BY ELECTROLYSIS, set forth in a Patent application for Letters Patent of the United States, already filed on March 17, 2005 as U.S. application No. 10/528,273; and

WHEREAS, Aluminum Pechiney, a corporation organized under and pursuant to the laws of France having its principal place of business at 7, place du Chancelier Adenauer, F-75218 Paris, FRANCE (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

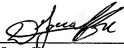
AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

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All practitioners at Customer Number 30678

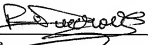
AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date June, 1st 2005



Denis Jouaire

Witness:

Date June, 1st 2005


Name
REMY DIKROUX

Date May, 12th 2005


Jean-Luc Basquin

Witness:

Date May, 12th 2005.

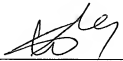

Name Ben Aissa BENKAHLA

Date May, 20th 2005


Claude Vanvoren

Witness:

Date May, 20th 2005


Name
Nathalie Weissbecker

* * * COMMUNICATION RESULT REPORT (SEP. 26. 2005 4:27PM) * * *

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DATE: September 26, 2005

PTO IDENTIFIER: Application Number 10/528,273-Conf. #4508
Patent Number

Inventor: Denis Jouaffre et al.

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FAX NUMBER: (703) 306-5995FROM: CONNOLLY BOVE LODGE & HUTZ LLP
Susan E. Shaw McBee
PHONE: (202) 331-7111
Attorney Dkt. #: 22131-00019-US

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